

Common Application Form for Equity and Fund of Funds Schemes

(To be Filled in BLOCK LETTERS only)

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units)			
Broker Name & ARN code / RIA code [^]	Sub-broker ARN code	Sub code	EUIN
24863			E043887

Application No. : **E**

[^] By mentioning RIA code, I / we authorise you to share with the SEBI Registered Investment Adviser (RIA) the details of my / our transactions in the schemes(s) of HSBC Mutual Fund.

I / We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

Sole / First Applicant / Authorised Signatory Second Applicant / Authorised Signatory Third Applicant / Authorised Signatory

For Office Use Only

1 TRANSACTION CHARGES (Please tick any one of the below. Refer point 5 on page 20 regarding transaction charges applicability)

I AM A FIRST TIME MUTUAL FUND INVESTOR (r 150 will be deducted as transaction charge for per purchase of r 10,000 and more) I AM AN EXISTING INVESTOR IN MUTUAL FUND (r 100 will be deducted as transaction charge for per purchase of r 10,000 and more)

2 APPLICANT'S INFORMATION [Please fill in your Folio No. below. In case of existing folio, furnish only KYC and PAN details below (if not provided earlier) and proceed to Section 3]

Folio No. _____ Please note that applicant details and mode of holding will be as per existing Folio Number.

SOLE/FIRST APPLICANT'S PERSONAL DETAILS AS APPEARING ON AADHAAR Are you a resident of Canada.? (✓) Yes No^{††} ^{††} Default if not ticked.

Name[^] [Mr Ms M/s] _____ Should match with Aadhaar _____

Date of Birth ^{~†} (Mandatory) [D D M M Y Y Y Y] _____ Proof Enclosed (✓) Birth Certificate School Leaving Certificate Passport Marksheet issued by HSC State Board Others _____ (please specify)

KYC Identification No. (KIN) ^{††} _____

Aadhaar Number** _____ Where Aadhaar number has not been assigned : Please enclose - Proof of application of enrollment of Aadhaar

PAN** (Mandatory) _____ Proof to be enclosed (✓) PAN card Copy

Nationality[†] _____ Country of Residence _____

Guardian Name[^] (if Sole / First applicant is a Minor) Contact Person (in case of Non-individual Investors only)

[Mr Ms M/s] _____

KYC Identification Number (KIN) ^{††} _____

Aadhaar Number** _____ Where Aadhaar number has not been assigned : Please enclose - Proof of application of enrollment of Aadhaar

PAN** (Mandatory) _____ Proof to be enclosed (✓) PAN card Copy

Natural Guardian* (Father or Mother) Legal Guardian** (court appointed Guardian)

* Document evidencing relationship with Guardian ** In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

Status of Sole / 1st Applicant (✓) : Resident Individual Resident Minor (through Guardian) Non-Resident (Repatriable) Non-Resident (Non-Repatriable) Non-Resident - Minor (Repatriable) Non-Resident - Minor (Non-Repatriable) Bank FPIs QFI/EFI AOP HUF FPI Sole-Proprietor Private Limited Company Public Limited Co. Body Corporate Partnership Firm Trust NPS Trust Fund of Fund Gratuity Fund Pension and Retirement Fund Government Body NGO BOI Society LLP PIO Non Profit Organisation Global Development Network Foreign Nationals [Specify Country] Others [Specify]

3 KYC DETAILS [Mandatory (Details of Guardian in case the unitholder is a minor)]

Investors are requested to complete the KYC section for Joint holders & POA also, as applicable

3a. Occupation Details (✓) : Private Sector Service Public Sector Service Government Service Professional Agriculturist Retired Housewife Student Doctor Forex Dealer Business [Nature of Business] _____ Casino Owner Arms manufacturer Gambling services offerer Money lender Pawn Broker Others [Pl. specify] _____

3b. Gross Annual Income (Please ✓) : Below R 1 Lac R 1-5 Lacs R 5-10 Lacs R 10-25 Lacs R 25 Lacs - R 1 Crore > R 1 Crore

OR Net-worth in Rupees (Mandatory for Non-Individuals) R Net-worth should not be older than 1 year as on (date) [D D M M Y Y Y Y]

For Individuals [Tick (✓) if applicable] : For Non-Individual Investors (Companies, Trust, Partnership etc.) :

Politically Exposed Person (PEP) I. Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company Yes No (If No, please attach mandatory UBO Declaration)

Related to a Politically Exposed Person (PEP) II. Foreign Exchange / Money Changer Services Yes No

Not Applicable III. Gaming / Gambling / Lottery/ Casino Services Yes No

IV. Money Lending / Pawning Yes No

For Non Individual Investors - Identification of Beneficial Ownership Mandatory UBO Declaration form duly filled and signed attached. Yes No (Not Required for a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company)

[^] Name to be as per the Aadhaar Card. If the Name given in the application is not matching with Aadhaar card, application may be liable to get rejected or further transactions may be liable get rejected.

* W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch). W.e.f January 1, 2012, applicants who are not KYC compliant are required to complete the uniform KYC process (for details refer point 10 under Important Instructions).

^{††} W.e.f February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund.

** As per the amendments to the Prevention of Money Laundering (Maintenance of Records) Rules, 2005 dated 1st June 2017, Resident Individual investors including Joint Holders, Guardian and Power of Attorney Holders are required to submit their Aadhaar number or proof of Aadhaar application issued by the Unique Identification Authority of India and Permanent Account Number (PAN) to us. Non-individual investors have to submit the Aadhaar and PAN of the authorized signatory/ies. Non Resident Individuals are not required to provide Aadhaar.

1) For MF accounts opened prior to June 1, 2017 - before 31st December 2017. 2) For MF accounts opened on/after June 1, 2017 - before 31st December 2017. 3) For accounts opened on/after January 1, 2018 - Aadhaar and PAN are mandatory, without which the account will not be opened.

Please note that if Aadhaar seeding and PAN updation is not completed for mutual fund investments by 31 December 2017, then these investment accounts will become inoperative until the time that these are duly updated in Fund records.

[†] Please note that information sought here will be obtained from KRA also. In case of any differences, the KRA input will apply.

...continued overleaf →

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Note: This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Received from [Mr Ms M/s] _____

Application No. : **E**

Folio No. _____ application for Units of Scheme

Option / Sub-option _____ Lumpsum investment alongwith Cheque / DD No. _____

Dated _____ Drawn on (Bank) _____ Amount (R) _____

SIP Investment Total Cheques _____ ECS (Debit Clearing)/Direct Debit Facility Total Amount (R) _____

ISC Stamp, Signature & date

Date [D D M M Y Y Y Y]

Please Note : All purchase are subject to realisation of instruments. All transaction processing is subject to final verification.